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#### **REFERÊNCIA**

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# Research of a Floquet's reference frame through a first order recurrence equation

## ABSTRACT

The authors have previously developed a method to solve periodic coefficient ordinary differential equations through the use of second order recurrent relations which yield the values of the Floquet's multipliers. In the present paper, they examine the possibility of using a first order recurrent relation; they study one example where this is possible.

## INTRODUCTION

In previous papers [3],[5], we have treated the case of the following ordinary differential equation with periodic coefficients :

$$(1) \quad RI + d(LI)/dt = 0$$

where  $L$  is a periodic matrix.

By use of the Floquet's theorem, we have shown that

$$(2) \quad I = \sum_h K_h \exp(-\alpha_h t) \cdot \sum_n I_{nh} \exp(jn\theta)$$

where the  $\alpha_h$ 's, the  $I_{nh}$ 's and  $K_h$ 's are to be determined.

Substituting (2) into (1) yields the following second order recurrence relation :

$$(3) \quad A \cdot I_{n+1} + B_n \cdot I_n + C \cdot I_{n-1} = 0$$

where  $A$  and  $C$  are constant  $m \times m$  matrices,  $B_n$  is a  $m \times m$  matrix which is function of  $\alpha$  and  $n$ .

The key of the method is to show that (3) yields a condition which ensures the convergence of expansion (2) ; from this condition,  $m$  values of  $\alpha$  can be determined. Numerical applications have been given.

Now, it is a common use to replace second order differential equations by first order ones, at the expense of a larger number of unknowns. Therefore, it is pertinent to raise the question : would it be easier to replace (3) by a first order recurrence relation such as :

$$(4a) \quad X_{n+1} = M_n \cdot X_n$$

where  $M_n$  would be a  $2m \times 2m$  matrix. If this is possible, is it possible to make use of (4a) to determine the  $\alpha_h$ 's ?

## OUTLINE OF THE METHOD

Indeed, if  $A$  is non singular, it is possible to write :

$$(5a) \quad I_{n+1} = -(A^{-1} \cdot B_n) \cdot I_n - (A^{-1} \cdot C) \cdot I_{n-1}$$

Since it is always possible to write :

$$(5b) \quad I_n = |1| \cdot I_n$$

where  $|1|$  is the  $m \times m$  unit matrix, we obtain :

$$(4b) \quad \begin{vmatrix} I_{n+1} \\ I_n \end{vmatrix} = \begin{vmatrix} -A^{-1} \cdot B_n & -A^{-1} \cdot C \\ 1 & 0 \end{vmatrix} \begin{vmatrix} I_n \\ I_{n-1} \end{vmatrix}$$

Therefore, it is possible to reduce the second order recurrence to a first order one, at least if  $A$  is non singular. It is to be noted that  $M_n$  is always a function of  $\alpha$  for finite values of  $n$ , and may be independent of  $\alpha$  when " $n$ " goes to plus or minus infinity.

(\*) Laboratoire d'Electrotechnique des Universités  
Paris VI et XI - Unité associé au CNRS n° 845  
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When "n" goes to  $\infty$ , some of the eigenvalues of  $M_n$  are larger than unity, and others ones are smaller. For the expansion (4) to be convergent, it is necessary that the constituent vectors lay in the subspace associated with eigenvalues larger than one for  $n = -\infty$ , and in the subspace associated with eigenvalues smaller than one for  $n = \infty$ . The role of the intermediate (i.e. finite) values of "n" is to ensure a transition between those two extreme cases. And since  $M_n$  is a function of  $\alpha$  when n is finite, the transition between the two extreme cases cannot be ensured unless  $\alpha$  assumes some particular values; this remark thus provides the equation which determines the  $\alpha_n$ 's.

This very simple procedure will be now explained on a practical example.

PRESENTATION OF THE EXAMPLE

Let us consider (figure 1) two coils whose resistances and inductances are constant, but mutual inductance is a periodic function of time.

The equations are :

(6a)  $Ri_a + L di_a/dt + d(i_f M \cos \theta)/dt = 0$

$ri_f + l di_f/dt + d(i_a M \cos \theta)/dt = E$

(6b) with  $\theta = \theta_0 + \omega t$

$\omega$  and  $\theta_0$  being constants. At time "t = 0" when switch S is closed :

(6c)  $i_a(0) = 0$

$i_f(0) = E/r$

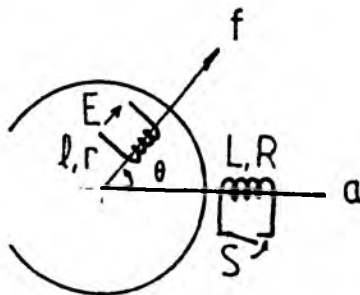


Fig. 1 : Undamped uniform air gap machine

Note that (6a) is inhomogeneous, while (1) is homogeneous. Relation (2) yields :

(7a) 
$$\begin{pmatrix} i_a(t) \\ i_f(t) \end{pmatrix} = \sum_{h=0}^2 k_h \exp(-\alpha_h t) \sum_{n=-\infty}^{\infty} \begin{pmatrix} i_n' \\ i_n'' \end{pmatrix} \exp(jn\theta)$$

where  $h = 0$  corresponds to the particular solution of the inhomogeneous equation ( $\alpha_h = 0$ ). From now on, we shall drop the index "h", in order to alleviate the expressions. In addition, we shall use the following variables :

(7b) 
$$I_n = \begin{pmatrix} i_n' \sqrt{L} \\ i_n'' \sqrt{l} \end{pmatrix}$$

which allow (6a) to be rewritten in terms of the

time constants and minimum dispersion of the two windings :

(7c) 
$$\begin{aligned} \delta_a &= R/L & \delta_f &= r/l \\ \beta &= M/\sqrt{Ll} = (1-\sigma)^{1/2} & \text{and } \sigma &= 1-M^2/Ll \end{aligned}$$

Indeed with those notations, we get (for non zero values of both "n" and "h") :

(8a) 
$$\begin{vmatrix} 0 & 1 \\ 1 & 0 \end{vmatrix} I_{n+1} + \begin{vmatrix} a_n & 0 \\ 0 & b_n \end{vmatrix} I_n + \begin{vmatrix} 0 & 1 \\ 1 & 0 \end{vmatrix} I_{n-1} = 0$$

where

(8b) 
$$\begin{aligned} a_n &= 2/\beta * [1 + \delta_a / (jn\omega - \alpha)] \\ b_n &= 2/\beta * [1 + \delta_f / (jn\omega - \alpha)] \end{aligned}$$

so that (4a) and (4b) become :

(9) 
$$\begin{vmatrix} I_{n+1} \\ I_n \end{vmatrix} = \begin{vmatrix} 0 & -b_n & -1 & 0 \\ -a_n & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{vmatrix} \begin{vmatrix} I_n \\ I_{n-1} \end{vmatrix}$$

which is equivalent to

(10a)  $X_{n+1} = M_n \cdot X_n$

with

(10b) 
$$X_n = \begin{vmatrix} I_n \\ I_{n-1} \end{vmatrix}$$

and

(11a) 
$$M(\infty) = \begin{vmatrix} 0 & -2/\beta & -1 & 0 \\ -2/\beta & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{vmatrix}$$

If we let :

(11b) 
$$\lambda = \sqrt{(1 + \sqrt{\sigma}) / (1 - \sqrt{\sigma})}$$

the eigenvalues of  $M(\infty)$  are  $\lambda, -\lambda, 1/\lambda, -1/\lambda$ , which correspond to the following eigenvectors :

(11c) 
$$u_1 = \begin{vmatrix} 1 \\ -1 \\ 1/\lambda \\ -1/\lambda \end{vmatrix}, u_2 = \begin{vmatrix} 1 \\ 1 \\ -1/\lambda \\ -1/\lambda \end{vmatrix}, u_3 = \begin{vmatrix} 1/\lambda \\ -1/\lambda \\ 1 \\ -1 \end{vmatrix},$$

$$u_4 = \begin{vmatrix} -1/\lambda \\ -1/\lambda \\ 1 \\ 1 \end{vmatrix}$$

respectively.

Since  $\lambda > 1$ , it immediately appears that convergence of (7a) cannot be ensured if  $X_n$  has a component along  $u_1$  or  $u_2$ , for very large values of "n" ; conversely, convergence of (7a) cannot be ensured if  $X_n$  has a component along  $u_3$  or  $u_4$ , for  $n < 0$  (and |n| very large). This means that  $X_n$  must lay in the plane ( $u_3, u_4$ ) for  $n > 0$ , and  $X_n$  in the plane ( $u_1, u_2$ ) for  $n < 0$ . In other words :

$$(12) \quad X_{\infty} = \begin{pmatrix} C/\lambda \\ -D/\lambda \\ D \\ -C \end{pmatrix}, \quad X_{-\infty} = \begin{pmatrix} E \\ -F \\ F/\lambda \\ -E/\lambda \end{pmatrix}$$

Writing (4a), (9) and (10a) as :

$$(13) \quad X_{N+1} = M(N) \dots M(1)M(0)M(-1) \dots M(-N)X_{-N}$$

where "N" is a very large value of "n" will therefore yield one four line equation to determine  $\alpha$ , C, D, E, F, that is to say to determine four unknowns (since one among C, D, E, F can be chosen arbitrarily). This equation is non linear ; we know that there are two time constants, therefore we must find two convenient sets of ( $\alpha$ , C, D, E, F).

We have completed the solution in the case of a very high  $d\theta/dt$ , then for various values of  $d\theta/dt$ .

CASE OF HIGH ROTATION SPEED

If "w" is large enough,  $\alpha$  will be negligible when compared to "jnw", and

$$(14) \quad 1/(jnw - \alpha) = 0$$

even for  $n = 1$ .

Therefore, equation (13) can be used either as

$$(15a) \quad X_2 = M(1)M(0)M(-1)X_{-1}$$

or as

$$(15b) \quad X_{\infty} = M_{\infty}M(0)M_{-\infty}X_{-\infty}$$

Since the directions of  $X_{\infty}$  and  $X_{-\infty}$  are known, and since  $M(0)$  is a function of  $\alpha$ , the following equation defines C, D, E, F and  $\alpha$  :

$$(16a) \quad M_{\infty}^{-1} \begin{pmatrix} 1/\lambda & 0 \\ 0 & -1/\lambda \\ 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{vmatrix} C \\ D \\ -M(0)M_{\infty} \end{vmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \\ 0 & 1/\lambda \\ -1/\lambda & 0 \end{pmatrix} \begin{vmatrix} E \\ F \end{vmatrix} = 0$$

Recalling that  $a_0$  and  $b_0$  are functions of  $\alpha$  and rearranging, we get

$$(16b) \quad \begin{vmatrix} -\lambda b_0 + 1 & 1 & 0 & 0 \\ \lambda & -\lambda & 0 & 0 \\ 0 & 0 & \lambda & -\lambda \\ 0 & 0 & -1 & \lambda a_0 - 1 \end{vmatrix} \begin{vmatrix} E \\ D \\ C \\ F \end{vmatrix} = 0$$

The determinant of (16b) obviously cancels when either one of the following conditions is fulfilled :

$$(17a) \quad \begin{matrix} a_0 = 2/\lambda \\ b_0 = 2/\lambda \end{matrix}$$

which in turn yield either one of the following values of  $\alpha$  :

$$(17b) \quad \begin{matrix} \alpha_1 = \delta_g / \sqrt{g} \\ \alpha_2 = \delta_f / \sqrt{g} \end{matrix}$$

which are the well known values given by Bouche-rot [1].

CASE OF LOW ROTATION SPEEDS

For low values of w, it is permissible to use again (13) with a "large enough" value of N. Let us call :

$$(18) \quad \begin{matrix} P^+ = M(N)M(N-1) \dots M(1) \\ P^- = M(-1)M(-2) \dots M(-N) \end{matrix}$$

$P^+$  and  $P^-$  are function of both  $\alpha$  and N, and may be written  $P^+(\alpha, N)$  and  $P^-(\alpha, N)$ . Thus

$$(19a) \quad \begin{vmatrix} C/\lambda \\ -D/\lambda \\ D \\ -C \end{vmatrix} = P^+(\alpha, N)M_0(\alpha)P^-(\alpha, N) \begin{vmatrix} F \\ -F \\ F/\lambda \\ -E/\lambda \end{vmatrix}$$

is the equation which gives  $\alpha$  and the values of C, D, E, F. This equation will be solved by successive iterations. To this end, let us call  $\alpha''$  an approximate value of  $\alpha$ , and  $\alpha'$  a value of  $\alpha$  which is a better approximation than  $\alpha''$ . In (19a) we may replace the square matrix by :

$$(19b) \quad P^+(\alpha'', N)M_0(\alpha')P^-(\alpha'', N)$$

and then we rearrange (19a) as :

$$(19c) \quad \mu(\alpha'', \alpha') \begin{vmatrix} E \\ D \\ C \\ F \end{vmatrix} = 0$$

which is more general than (16b). Thus, if we have an approximation of  $\alpha$ , (19c) will yield another one. If we start with one of the values (17), convergence is obtained after 2 or 3 iterations. We shall say that the value of N which has been used was "large enough" if the process converges to the same value of  $\alpha$  for N and for N+1.

It is to be noted that the eigendirection (E, D, C, F) provided by (19c) must obviously be the same as the eigendirection provided by (16b). Therefore, the solution of (19) for  $\alpha'$  when  $\alpha''$  is known may be greatly simplified.

This process has been used for  $\sigma = 0.09$ ,  $\delta_g = 2.s$ ,  $\delta_f = 1.s$  ; for each value of w, two values  $\alpha_1$  and  $\alpha_2$  of  $\alpha$  are found : they are plotted in figure 2.

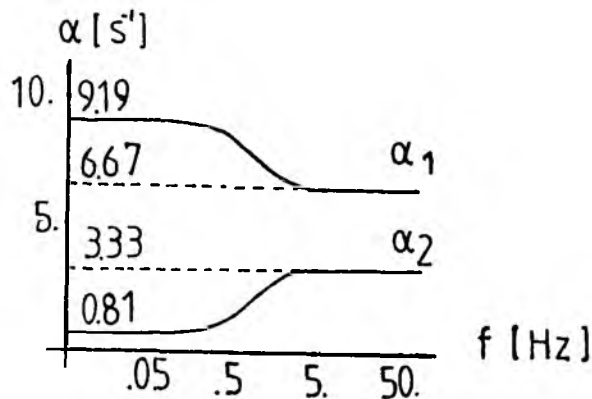


Fig. 2 : Variation of  $\alpha$  as a function of frequency

CONCLUSION

Some linear first order periodic coefficient ordinary differential equations may be solved through a second order recurrent relation which we have studied in our previous work. In the present paper, we have shown that it is possible to replace this relation by a first order one, under the condition that a given matrix be non singular. We have shown how to solve such a first order recurrent relation. We hope that this will simplify the study of more complicated problems.

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